

# Development of NFIQ 2.0

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http://www.nist.gov/itl/iad/ig/development\_nfiq\_2.cfm

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## Outline

- » History + Background
- » Sponsors + Team Members
- » Architecture
- » Features
- » Machine Learning
- » NFIQ 2.0 prototype
- » NFIQ 2.0 Lite (Mobile)
- » Actionable quality
- » Relation to ISO/IEC 29794-4
- » Discussion



## 2004 - present

# 2007

- •Release of NFIQ 1.0
- •Novel definition of biometric quality
- performance related
- accepted by the community
- Interoperability
- •uniform interpretation
- •tuned to a class of matcher
- Open source
- Extensively examined
- •by NIST and others
- •tools for quality summarization, slap, ...

# 2010 workshop

- •Workshop on March 6, 2010 (IBPC 2010)
- •NFIQ 2.0 wish-list as of March 2010
- •Several options for NFIQ 2.0 were discussed
- http://biometrics.nist.gov/ cs\_links/ibpc2010/ options\_for\_NFIQ2.0.pdf
- •The community overwhelmingly recommended a new, open source, generalized version of NFIQ to be developed in consultation and collaboration with users and industry.
- Same technical approach, but better, bigger, faster, etc.

# 2012 workshop

•Workshop on March 5, 2012 (IBPC 2012)

NFIQ 2.0 wish list as of March 2010 Components as of March 2012

- Community asked for:
- Actionable flags
- •providerID
- Versioning
- •Latent?



# NFIQ 2.0 Community

#### **Team Members**

- ≫ NIST (US)
- BSI (Germany)
- BKA (Germany)
- Fraunhofer IGD
- ≫ MITRE (US)
- > Hochschule Darmstadt / CASED
- Securet Security Networks AG
- ≫ NFIQ 2.0 Participants
- ...and the whole biometrics community

#### **Sponsors**



Science and Technology





Bundeskriminalamt



## **Team Members**

#### US

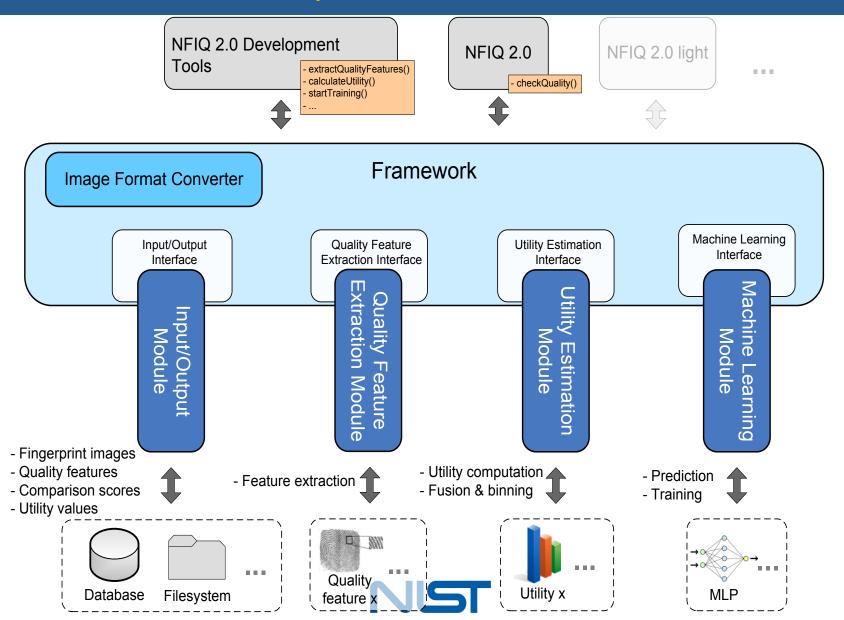
- » Elham Tabassi (NIST)
- » Patricia Flanagan (NIST)
- Some of the second of the s
- » Carol Nowacki, Carol (MITRE)
- » Adam Day (MITRE)
- » Marc Colosimo (MITRE)
- » Martin Olsen (HDA, NIST)

#### DE

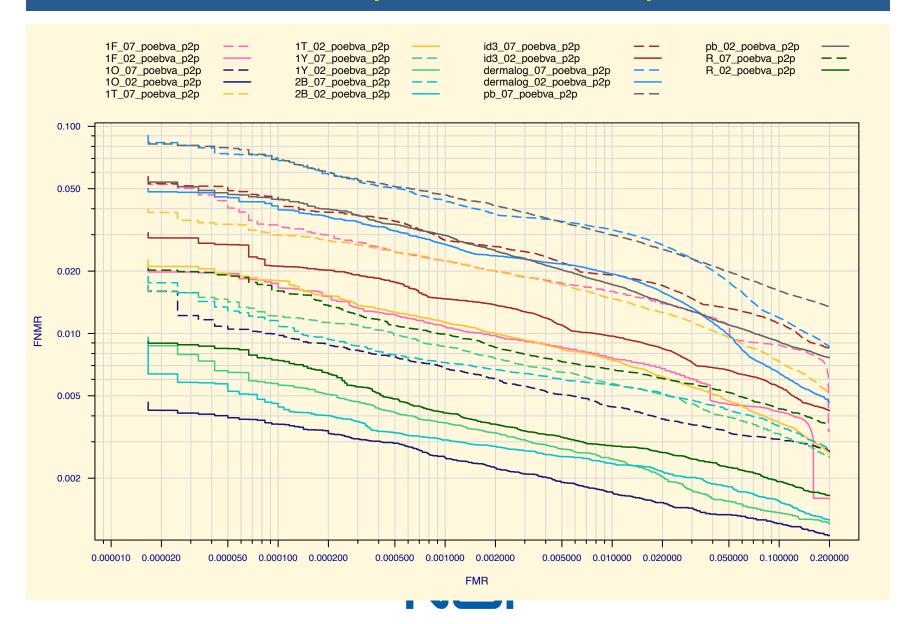
- » Christoph Busch (HAD)
- » Oliver Bausinger (BSI)
- » Johannes Merkle (SEC)
- » Michael Schwaiger (SEC)
- » Christopher Schiel (BKA)
- > Timo Ruhland (BKA)
- » Alexander Nouak (IGD)
- » Olaf Henniger (IGD)

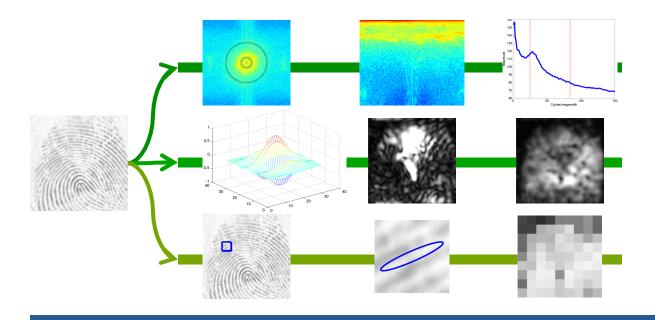


# NFIQ 2.0 Framework



# NFIQ 2.0 comparison score provider





## **NFIQ 2.0 FEATURES**

NFIQ 1.0 features

Recommended Features in ISO/IEC 29794-4:2009 + our modifications Surveyed literature + out modifications

Open source FingerjetFx minutia extractor



# NFIQ 2.0 features

## Image/signal processing

- » Local clarity score
- » Ridge valley uniformity
- » Orientation certainty level
- » Orientation flow
- » Frequency domain analysis
- » Radial power spectrum
- » Gabor filters (several variants)

#### Minutiae based

- » FingerjetFx
  - Open source implementation from digitalPersona
  - Digitalpersona.com/fingerjetfx
- » Total count of minutia
- » Count of minutia in region of interest
  - Various selection of ROI

Standardized features allow for plug and play of feature computation implementations that are semantically conformant to the standard (i.e., ISO/IEC 29794-4 and ISO/IEC 19794-4).

Different implementations are distinguished via providerID.



# ~100 features ...

FJFXPos\_OCL\_MinutiaeQuality\_0

Percentage of minutiae quality values (based on OCL value around each minutiae location) between 0 and 20

		FJFAFOS_OCE_WIIIutiaeQuality_0	Percentage of minutiae quality values (based on OCE value around each minutiae location) between 0 and 20
Feature ID in Framework	Comments	FJFXPos_OCL_MinutiaeQuality_20	Percentage of minutiae quality values (based on OCL value around each minutiae location) between 20 and 40
NFIQ1 Feature 1	Original NFIQ1 Feature 1	FJFXPos_OCL_MinutiaeQuality_40	Percentage of minutiae quality values (based on OCL value around each minutiae location) between 40 and 60
		FJFXPos_OCL_MinutiaeQuality_60	Percentage of minutiae quality values (based on OCL value around each minutiae location) between 60 and 80
NFIQ1_Feature_2	Original NFIQ1 Feature 2	FJFXPos OCL MinutiaeQuality 80	Percentage of minutiae quality values (based on OCL value around each minutiae location) between 80 and 100
NFIQ1_Feature_3	Original NFIQ1 Feature 3	FJFXPos OCL 4Blocks AverageMinQuality	Average of minutiae quality that was computed based on the mean of all OCL values around each minutiae location (4 blocks around
NFIQ1_Feature_4	Original NFIQ1 Feature 4	FJFXPos Coherence AvgMinQuality	Average of minutiae quality that was computed based on the coherence value of the orientation map field of the block in which the m
NFIQ1 Feature 5	Original NFIQ1 Feature 5	FJFXPos CMEnh InhQual AvgMinQual	Average of minutiae quality that was computed based on the inhomogenety quality value of the enhanced contrast map
NFIQ1 Feature 6	Original NFIQ1 Feature 6	FJFXPos MinutiaeFusion 1	Average of fused minutiae quality that was computed based on OCL. Mu. coherence values and enhanced constrast map values
NFIQ1 Feature 7	Original NFIQ1 Feature 7	FJFXPos AvgMinReliability QMEnh	Average of minutiae quality that was computed on the reliability value retrieved from the enhanced quality map
NFIQ1 Feature 8	Original NFIQ1 Feature 8	FJFXPos AvgMinReliability QMAdv	Average of minutiae quality that was computed on the reliability value retrieved from the advanced quality map
NFIQ1_Feature_9	Original NFIQ1 Feature 9	FJFXPos MinutiaeFusion 2	Average of fused minutial quality that was computed based on OCL, Mu, coherence values, enhanced quality map zones and enhan
		FJFXPos_Minutiaerusion_2 FJFXPos_QualityMapEnh_AvgMinQual	
NFIQ1_Feature_10	Original NFIQ1 Feature 10		Average of minutiae quality that was computed based on the quality zones determined by the enhanced quality map
NFIQ1_Feature_11	Original NFIQ1 Feature 11	FJFXPos_LCS_AverageMinutiaeQuality	Average of minutiae quality that was computed based on block-wise LCS
NFIQ1_Time_All	Speed computation of NFIQ1 features in ms	FJFXPos_RVU_AverageMinutiaeQuality	Average of minutiae quality that was computed based on block-wise RVU
FingerJetFX MinutiaeCount	Number of detected minutiae (no limitation as in original FJFX source code)	FJFXPos_LowFlow_AverageMinutiaeQuality	Average of minutiae quality that was computed based on block-wise values returned by the low flow map
FingerJetFX MinutiaeQuality 0	Percentage of minutiae that have minutiae quality of 0 (= not calculated)	FJFXPos_Time_All	Speed computation of minutiae quality computation values
FingerJetFX MinutiaeQuality 1	Percentage of minutiae that have minutiae quality between 1 and 10	OCL	Orientation Certainty Level (OCL) of whole image
FingerJetFX MinutiaeQuality 2	Percentage of minutiae that have minutiae quality between 11 and 20	OCL_Time	Speed computation of OCL computation
FingerJetFX MinutiaeQuality 3		QualityMap_HighContrastBlocks	Number of blocks that have high contrast according to NFIQ1 low contrast map (re-implemented using OpenCV)
	Percentage of minutiae that have minutiae quality between 21 and 30	QualityMap Time	Speed computation of quality map computation (low contrast map, enhanced orientation map, high curve map)
FingerJetFX_MinutiaeQuality_4	Percentage of minutiae that have minutiae quality between 31 and 40	OrientationMap Time	Speed computation of orientation map (without ROI filtering)
FingerJetFX_MinutiaeQuality_5	Percentage of minutiae that have minutiae quality between 41 and 50	OrientationMap ROIFilter Time	Speed computation of orientation map determination with ROI filtering
FingerJetFX_MinutiaeQuality_6	Percentage of minutiae that have minutiae quality between 51 and 60	QualityMapEnh Time	Speed computation of enhanced quality map computation (enhanced low contrast map, enhanced orientation map, low flow map, his
FingerJetFX MinutiaeQuality 7	Percentage of minutiae that have minutiae quality between 61 and 70	QualityMapAdv Time	Speed computation of advanced quality map computation (enhanced low contrast map, enhanced orientation map, high curve map)
FingerJetFX MinutiaeQuality 8	Percentage of minutiae that have minutiae quality between 71and 80	LowFlowMap Time	Speed computation of low flow map
FingerJetFX MinutiaeQuality 9	Percentage of minutiae that have minutiae quality between 81 and 90		
FingerJetFX MinutiaeQuality 10	Percentage of minutiae that have minutiae quality between 91 and 90  Percentage of minutiae that have minutiae quality between 91 and 100	OrientationMap_ROIFilter_CoherenceSum	Sum of all blockwise coherence values based on orientation map computation (block size 16) with applied ROI filter of ImgProcROI r
		OrientationMap_ROIFilter_CoherenceRel	Relative number of all blockwise coherence values based on orientation map computation (block size 16) with applied ROI filter of Im
FingerJetFX_AverageMinutiaeQuality	Arithemtic mean (average) of FJFX quality value of all minutiae	OrientationMap_CoherenceSum	Sum of all blockwise coherence values based on orientation map computation (block size 16) of the whole image
FingerJetFX_ROIBlockArea	Percentage of blocks that have at least one minutia in it (block size 32x32 pixels)	OrientationMap_CoherenceRel	Relative number of all blockwise coherence values based on orientation map computation (block size 16) of the whole image
FingerJetFX_ROIBlockAbs	Absolute number of blocks that have at least one minutia in it (block size 32x32 pixels)	QualityMap_Foreground	Number of foreground blocks based on the quality map computation (similar but not identical to NFIQ1 quality map with block size 8)
FingerJetFX MinCount COMMinRect200x200	Number of minutiae detected in rectangle of 200x200 pixels around centre of mass (based on minutiae locations)	QualityMap_RelCount_1	Relative number of quality map blocks that have an assigned value of 1 (similar but not identical to NFIQ1 quality map with block size
FingerJetFX MinCount COMMinRect300x200	Number of minutiae detected in rectangle of 300x200 pixels around centre of mass (based on minutiae locations)	QualityMap_RelCount_2	Relative number of quality map blocks that have an assigned value of 2 (similar but not identical to NFIQ1 quality map with block size
FingerJetFX MinCount COMMinCircle200	Number of minutiae detected in a circle of diameter 200 pixels around centre of mass (base on minutiae locations)	QualityMap RelCount 3	Relative number of quality map blocks that have an assigned value of 3 (similar but not identical to NFIQ1 quality map with block size
FingerJetFX MinCount COMMinCircle250	Number of minutiae detected in a circle of diameter 250 pixels around centre of mass (base on minutiae locations)	QualityMap RelCount 4	Relative number of quality map blocks that have an assigned value of 4 (similar but not identical to NFIQ1 quality map with block size
		ContrastMapEnh HighContrastBlocks	Number of high contrast blocks according to the computation results of the enhanced contrast map
FingerJetFX_MinCount_COMGrayRect200x200	Number of minutiae detected in rectangle of 200x200 pixels around centre of mass (based on grayvalues)	ContrastMapEnh AvgInhomogenety	Average of block-wise inhomogenety values returned by enhanced contrast map
FingerJetFX_MinCount_COMGrayRect300x200	Number of minutiae detected in rectangle of 300x200 pixels around centre of mass (based on grayvalues)	ContrastMapEnh AvgSmoothness	Average of block-wise smoothness values returned by enhanced contrast map
FingerJetFX_MinCount_COMGrayCircle200	Number of minutiae detected in a circle of diameter 200 pixels around centre of mass (base on grayvalues)	ContrastMapEnh AvgUniformity	Average of block-wise uniformity values returned by enhanced contrast map
FingerJetFX_MinCount_COMGrayCircle250	Number of minutiae detected in a circle of diameter 250 pixels around centre of mass (base on grayvalues)	ContrastMapEnh AvgQuality	Average of block-wise quality values based on the returned inhomogenety, uniformity and smoothness values of the enhanced contra
FingerJetFX Time All	Speed computation of FJFX feature extraction (of all features within this module, including COM and ROI based features) in ms	ContrastMapEnh Time	Average or index-wise quality values based on the returned minimogeneity, uniformity and smoothness values of the eminanced contrast map computation.  Speed computation of enhanced contrast map computation.
FingerJetFX_Time	Speed computation of FJFX minutiae extraction and ISO container parsing	QualityMapEnh HighFlowBlocks	
Mu	Mu (= mean of all pixel values)		Number of high flow blocks determined by the enhanced quality map (low flow map)
MMB	Mu Mu Block (MMB) (= mean of all blockwise mean intensity values)	QualityMapEnh_LowFlowBlocks	Number of low flow blocks determined by the enhanced quality map (low flow map)
		QualityMapEnh_Foreground	Number of foreground blocks based on the quality map computation (similar but not identical to NFIQ1 quality map with block size 8)
Sigma	Sigma (= standard deviation of pixel values)	QualityMapEnh_RelCount_1	Relative number of enhanced quality map blocks that have an assigned value of 1 (similar but not identical to NFIQ1 quality map with
Mu_Time	Speed computation of Mu feature	QualityMapEnh_RelCount_2	Relative number of enhanced quality map blocks that have an assigned value of 2 (similar but not identical to NFIQ1 quality map with
MMB_Time	Speed computation of MMB feature	QualityMapEnh_RelCount_3	Relative number of enhanced quality map blocks that have an assigned value of 3 (similar but not identical to NFIQ1 quality map with
Sigma Time	Speed computation of Sigma feature	QualityMapEnh RelCount 4	Relative number of enhanced quality map blocks that have an assigned value of 4 (similar but not identical to NFIQ1 quality map with
ImgProcROIBlockArea	Percentage of ROI blocks in relation to all blocks of image (block size 32x32 pixels)	QualityMapAdv Foreground	Number of foreground blocks based on the quality map computation (similar but not identical to NFIQ1 quality map with block size 8)
ImgProcROIBlockAbs	Absolute number of ROI blocks in image (block size 32x32 pixels)	QualityMapAdy RelCount 1	Relative number of advanced quality map blocks that have an assigned value of 1 (similar but not identical to NFIQ1 quality map with
ImgProcROIPixelArea	Percentage of ROI pixels in relation to total number of pixels of image	QualityMapAdv RelCount 2	Relative number of advanced quality map blocks that have an assigned value of 2 (similar but not identical to NFIQ1 quality map with
ImgProcROIPixelAbs	Absolute number of ROI pixels in image	QualityMapAdv RelCount 3	Relative number of advanced quality map blocks that have an assigned value of 3 (similar but not identical to NFIQ1 quality map with
	Mean value (= Mu) of ROI blocks only	QualityMapAdv RelCount 4	Relative number of advanced quality map blocks that have an assigned value of 4 (similar but not identical to NFIQ1 quality map with
ImgProcROIArea_Mean		LowFlowMap24 HighFlowBlocks	Number of high flow blocks determined by the low flow map (block size 24 x 24)
ImgProcROIArea_StdDev	Standard deviation (= sigma) of ROI blocks only	LowFlowMap24_Tight lowBlocks	Speed computation of low flow map with block size 24 x 24
ImgProcROIArea_OCL	Orientation Certainty Level (OCL) feature value of ROI blocks only	LowFlowMap32 HighFlowBlocks	Number of high flow blocks determined by the low flow map (block size 32 x 32)
ImgProcROIArea_Time	Speed computation of ImgProcROI features		
ImgProcROIArea OCL Time	Speed computation of ImgProcROIArea OCL feature	LowFlowMap32_Time	Speed computation of low flow map with block size 32 x 32
FJFXPos Mu AverageMinutiaeQuality	Average minutiae quality based on mean and stddev of pixel grayvalues (=Mu) of a 32x32 pixels block around minutiae location	Gab	Gabor feature
FJFXPos Mu MinutiaeQuality 0	Percentage of Mu values (as defined above) that have value <= -0.5	GSh	Gabor Shen feature
FJFXPos Mu MinutiaeQuality 1	Percentage of Mu values (as defined above) that have value > -0.5 and <= 0	LCS	Local Clarity Score (LCS) feature
		OCL_S	Orientation Certainty Level (OCL) feature based on Sobel filters
FJFXPos_Mu_MinutiaeQuality_2	Percentage of Mu values (as defined above) that have value > 0 and <= 0.5	OCL_CD	Orientation Certainty Level (OCL) feature based on centered differences
FJFXPos_Mu_MinutiaeQuality_3	Percentage of Mu values (as defined above) that have value > 0.5	RVU_P	Ridge Valley Uniformity (RVU) feature with padding (block size 32)
FJFXPos_COMMin_MMB_224	MMB value of square (size 224x224 pixels, block size 32x32 pixels) around centre of mass (based on minutiae locations)	RVU NP	Ridge Valley Uniformity (RVU) feature without padding (block size 32)
FJFXPos_OCL_AverageMinutiaeQuality	Average of minutiae quality that was computed based on the OCL value around each minutiae location	OF	Orientation Flow (OF) feature
/		RPS	Radial Power Spectrum (RPS) feature
1		FDA	Frequency Domain Analysis (FDA) feature
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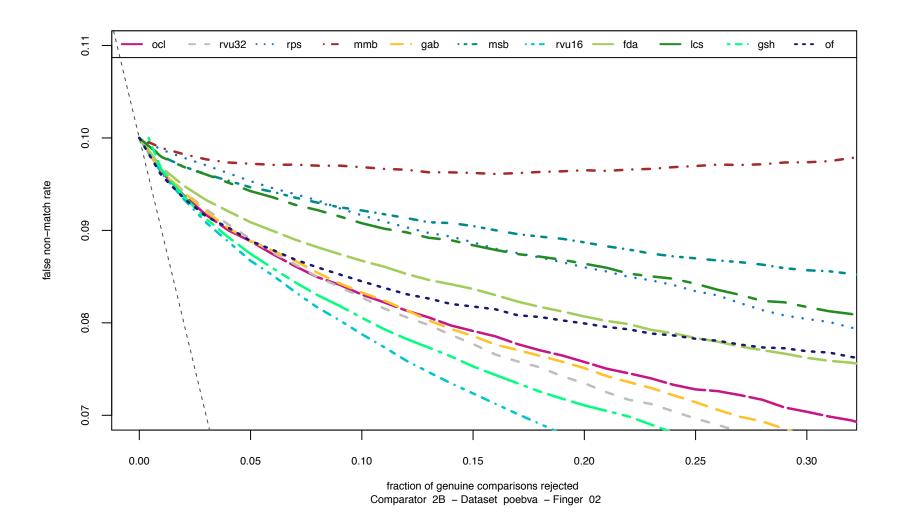
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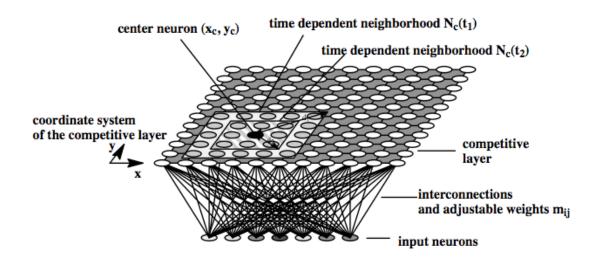
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# NFIQ 2.0 :: performance per features







## **MACHINE LEARNING**

We examined:
Random forest
Support vector machine
K-nearest neighbor



# **Machine Learning**

#### **Random Forest**

- Ensemble classifier using stochastic process
  - Use vote to determine class memberships
  - Provides class probability in predictions
  - Analysis of features importance and their ranking
    - We used this to do our final feature selection

### Two class prediction

- » High vs. Low performers
  - 1: High performers are images that result in high genuine scores
    - $> CDF^{-1}(0.95)$
  - 0: Low performers are images that result in false reject
    - Threshold at FMR=0.0001
  - Quality score is the probability that a given image belongs to class 1.
- » Map quality score to recognition rate.



#### **Training**

Features: image processing + #minutiae + minutiae quality ~5000 samples in each of the low and high performers classes 1000 trees in forest

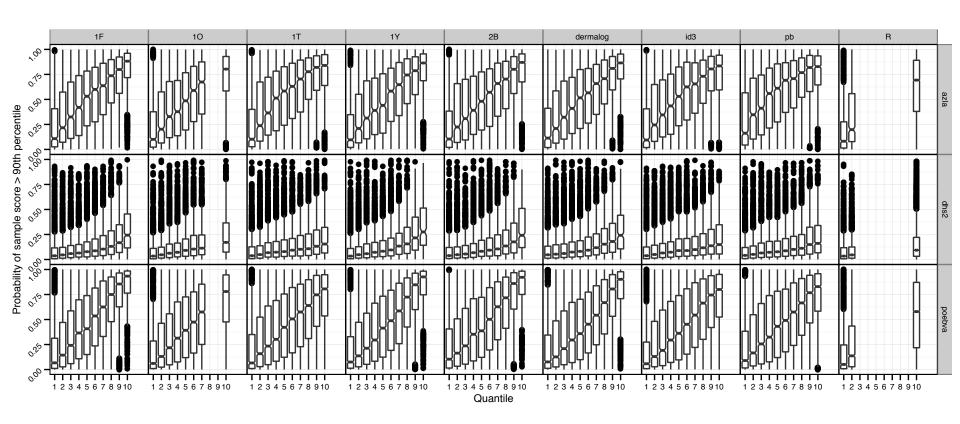
#### Test

30000 comparison scores

# So, Does It Work?



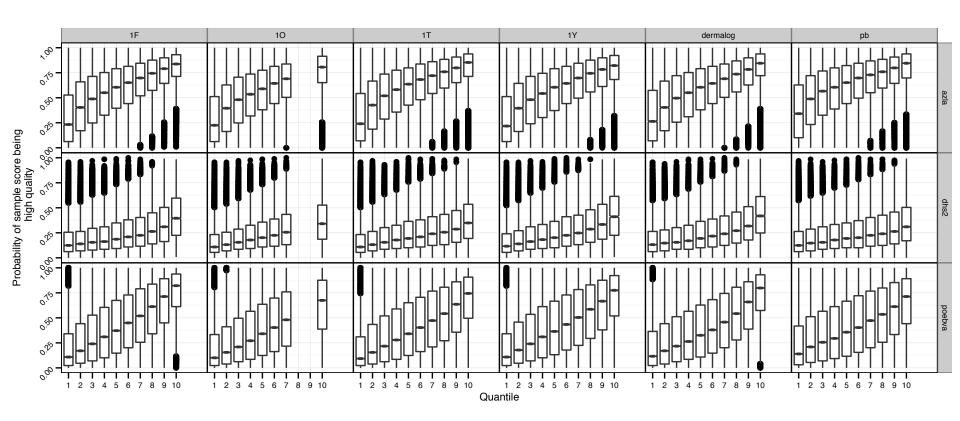
# NFIQ 2.0 test –all features





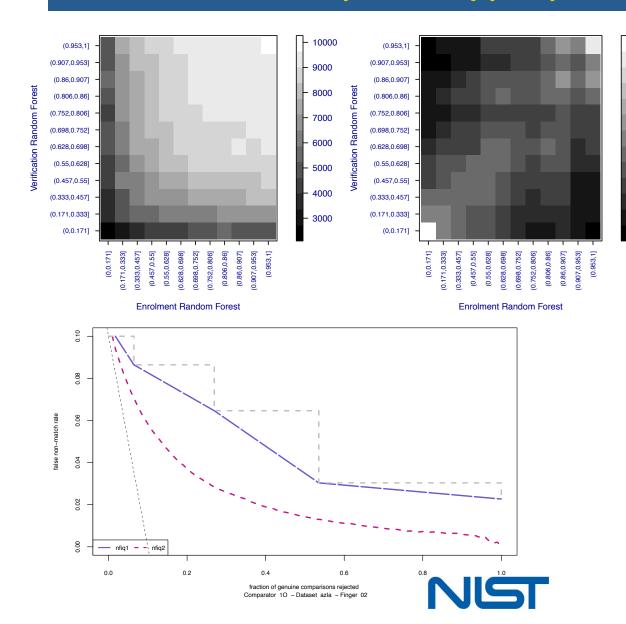
## NFIQ 2.0 prototype

(current selecetion of features)





# NFIQ 2.0 prototype performance



#### **Features:**

1400

1200

1000

800

600

- 400

Gabor
Gabor Shen
Local Clarity Score (LCS)
Orientation Certainty Level
(OCL)
Ridge Valley Uniformity (RVU)
w/o padding
Ridge Valley Uniformity with
padding
Orientation Flow (OF)
Radial Power Spectrum (RPS)
Minutia count
Minutiae quality based on Mu
Minutia quality based on OCL
ROI (foreground size)

# **ACTIONABLE QUALITY**



# Actionable quality

## Feed back to user/operator

- > Wet / dry
  - High/low pressure
    - MS Thesis (M. Dusio, C. Busch)
- » Centeredness
  - Singularity detection
- » Incompleteness
  - Entropy of orientation flow

» Ghost images





#### **Questions?**

- » Sensor sensitivity?
- » Algorithm sensitivity?
- » Already covered by features?
- » Any addition or deletion?
  - Fingerness?
  - Alteredness?
  - correctness of phalanx?





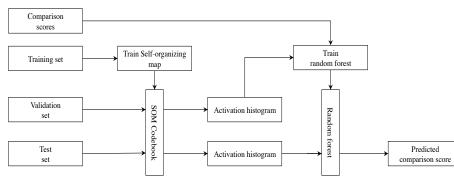
# NFIQ 2.0 LITE (MOBILE)



# NFIQ 2.0 Lite/Mobile

#### Requirements

- » Low computation complexity
  - processing power
  - Processing time
- » Therefore, feature computation not feasible!
- » Look up table?



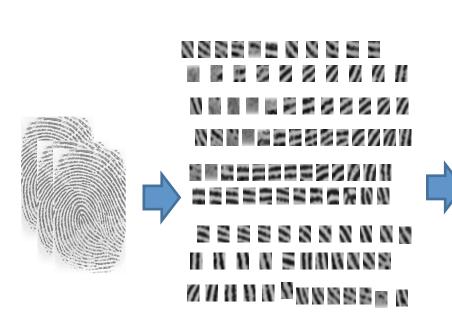
#### SOM

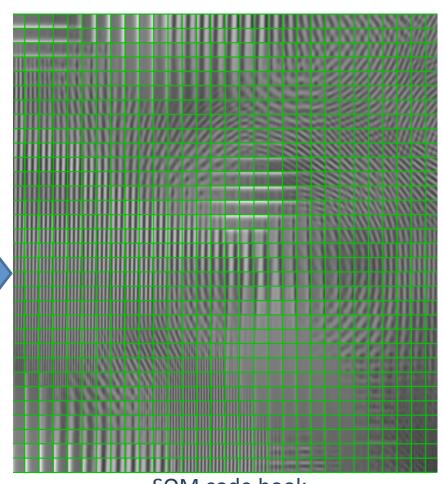
- » Unsupervised clustering (unlabelled training data)
- » Training phase
  - Iteratively present training vectors to build clusters (codebook vectors)
- » Prediction phase
  - Input vector is assigned a class based on distance to learned clusters
- » Topology preserving similar classes will have similar spatial locations in the map



# Self organizing maps

M. Olsen, E. Tabassi, A. Makarov, C. Busch: "Self-Organizing Maps for Fingerprint Image Quality Assessment", in Proceedings of the 26th Conference on Computer Vision and Pattern Recognition (CVPR 2013), June 23-28, Portland, Oregon, (2013)

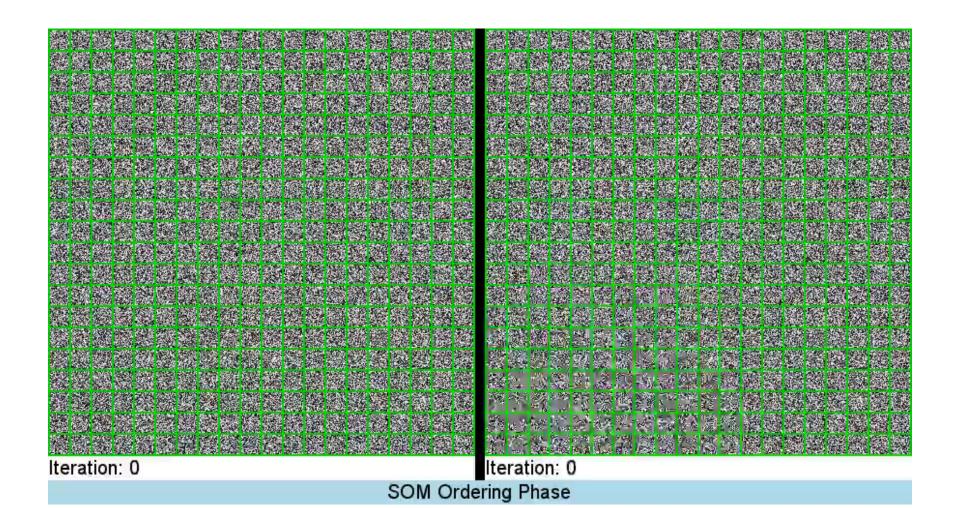




SOM code book



# SOM unsupervised training





# Self organizing maps for NFIQ2.0 Lite-1



Divide fingerprint image into blocks and look up nearest cluster to get a label



AAAAA

ABCDA

A E C D A

AECCA



Finger image is transformed into cluster histogram

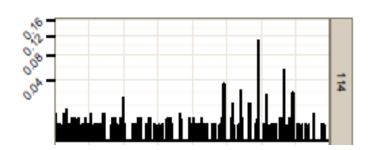


**Quality Score** 



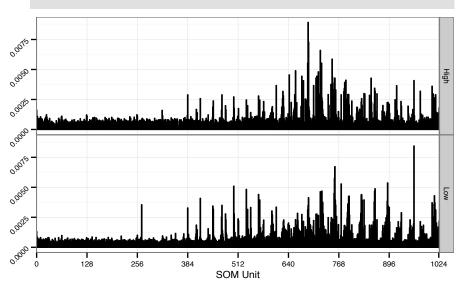
Random Forest

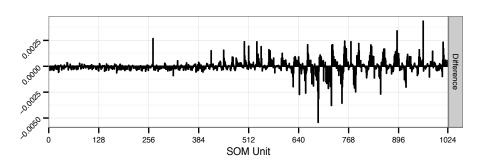




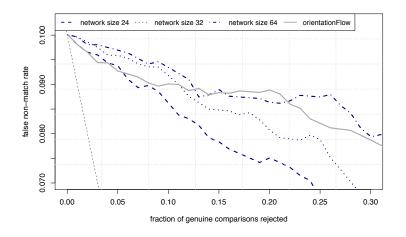
# NFIQ 2.0 Lite prototype

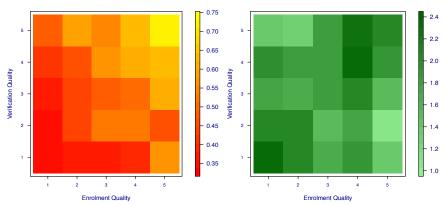
#### **Features**





## performance







## NFIQ 2.0 computation time

#### Lite

- » ~ 65 ms/image
  - PC 2.3 GHz Intel Core i7
  - 16 GB of memory.
  - network size of dim = 24
  - block size of n = 24
  - With gray scale normalization
- » ~ 82 ms/image.
  - PC 2.3 GHz Intel Core i7
  - 16 GB of memory.
  - network size of dim = 24
  - block size of n = 64
- This is prior to any code optimization

#### **NFIQ 2.0**

- » Feature computation time
  - » ~ 19.45 msec/image for OCL Expect about the same for other features
    - MacBook Air, Mid 2011
    - Processor: 1.7 GHz Intel Core i5 (dual core)
    - Memory: 4 GB 1333 MHz DDR3 (256 KB L2 cache, 3MB L3 cache)
    - Software: OS X 10.8.3 (12D78)
  - ~85 msec/image for Minutia based features
- This is prior to any code optimization



## **Current Status**

## Completed

- » Framework design
  - Modular, plug and play
- » Framework implementation
- Feature selection and prototype implementation complete
  - http://biometrics.nist.gov/cs\_links/quality/ NFIQ\_2/NFIQ-2\_Quality\_Feature\_Defin-Ver05.pdf
- » Feature evaluation complete.
- Feature Implementation MATLAB to to C/C++
  - Thanks to FBI + MITRE
- Exploring machine learning
  - Random forest, SVM.
- Feature selection (almost contingent on their computation time).
- » Implementation of actionable flags for detection and mitigation of bad presentations
  - Incomplete finger (tip, etc.) + Wet / dry + Pressure

#### **Underway**

- » Finalizing training
  - After this workshop
- » NFIQ 2.0 Lite
  - Self organizing map
- Evaluation of Implementation of actionable flags for detection and mitigation of bad presentations
  - Incomplete finger (tip, etc.) + Wet / dry + Pressure
  - But, tricky since we do not have groundtruth for this.
- $\Rightarrow$  Mapping of NFIQ 2.0  $\rightarrow$  NFIQ 1.0



## **NFIQ 2.0**

## Promises, promises

- » Improved feature
- » More level (0-100)
- » Faster, lighter
- » Actionable feedback
- » NFIQ 2.0 mobile
- » Slap
- » Better performance
- » Modular design
- » Calibration
- » Conformance testing

#### So far, we have achieved

- » Improved feature
- » Standard features
- » More level (0-100)
- » Faster we hope
- » Actionable feedback
- » Towards NFIQ Mobile
- **>>** --
- » Better performance we hope
- » Plug and play



## Standardization - then

## ISO/IEC IS 29794-1:2009

- » Information technology -Biometrics sample quality Part 1: Framework
- » Definitions
  - quality: "the degree to which a biometric sample fulfils specified requirements for a targeted application"
  - quality score: "a quantitative expression of quality"
  - utility: "the observed performance of a biometric sample or set of samples in one or more biometric systems"
- » Quality score from 0 to 100

#### **5-byte Quality Block**

description		size	valid values	notes	
Number of Quality Blocks		1 byte	[0,255]	This field is followed by the number of 5-byte Quality Blocks reflected by its value (see Fehler! Verweisquelle konnte nicht gefunden werden.).	
				A value of zero (0) means that no attempt was made to assign a quality score. In this case, no Quality Blocks are present.	
	Quality Score	1 byte	[0,100] 255	0: lowest 100: highest 255: failed attempt to assign a quality score	
Quality Block	Quality Algorithm Vendor ID	2 bytes	[1,65535]	Quality Algorithm Vendor ID shall be registered with IBIA as a CBEFF biometric organization. Refer to CBEFF vendor ID registry procedures in ISO/IEC 19785-2.	
	Quality Algorithm ID	2 bytes	[1,65535]	Quality Algorithm ID may be optionally registered with IBIA as a CBEFF Product Code. Refer to CBEFF product registry	



## Standardization - now

## ISO/IEC 29794-1:201X

- Information technology -Biometrics sample quality Part 1: Framework
- Definitions
  - Same as before, but allow for a vector of quality components
  - Goal: Actionable quality
- » Each element of quality vector has a score from 0 to 100.

## **Vector of quality components**

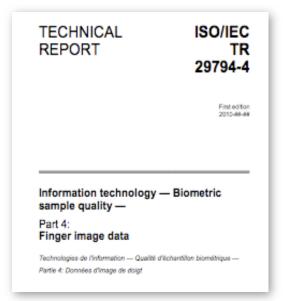
	Table 2 – Data fields					
		Description	Size	Valid values	Notes	
		Number of Quality Blocks (N)	1 byte	0 to 255	This field is followed by the number of 5-byte Quality Blocks reflected by its value.  A value of zero (0) means that no attempt was made to assign a quality score. In this case, no Quality Blocks are present.	
Quality Block 1	Byte 1	Quality Indicator	1 byte	0 to 100 250 255	0 to 100: the encode value is the overall quality score of the representation. It should express the predicted recognition performance of a representation with higher values indicating better quality.  250 (FA <sub>Hex</sub> ): a vector of quality metrics is encoded in bytes 6-N.  255 (FF <sub>Hex</sub> ), an attempt to calculate a quality score has failed	
Quality	Bytes 2-3	Quality Algorithm Vendor ID	2 bytes	1 to 65535	Quality Algorithm Vendor ID shall be registered with IBIA as a CBEFF biometric organization. Refer to CBEFF vendor ID registry procedures in ISO/IEC 19785-2.	
	Bytes 4,5	Quality Algorithm ID	2 bytes	1 to 65535	Qua IBIA proc	
	Bytes 6	- 5 x (Number o	of qualit	y blocks) exis	st only if quality indicator (Byte 1) is 250 (FA <sub>Hex</sub> ).	
	6	Overall quality score	1 byte	0 to 100	A quality score should express the predicted comparison performance of a representation. A quality score shall be encoded in one byte as an unsigned integer. Allowed values are 0 to 100 with higher values indicating better quality	
Quality Blocks 2-N	7	Number of quality vector elements	1 byte	Defined in each Part of this Standard	If the number of quality vector elements mod 5 is not equal to three then padding bytes should be added such that the length of the block is a multiple of five. This will ensure backward compatibility with the implementations conformant with ISO/IEC 29794-1:2009 and ISO/IEC 19794-x:2011. For example, if the number of quality vector elements is 14, 4 padding bytes shall be added so that the length of the image quality record is 25 = 4(padding) + 14(number of quality vector elements) + 7(as shown in rows 1-7).	
	8	Quality metrics			As defined in modality specific parts of this International Standard.	



## Support standardization of finger image quality

## ISO/IEC 29694-4

- Provide quantitative support to development of Information technology – Biometric sample quality – Part 4: Finger image
  - Currently at 2nd working draft
- » Contribute feature computation method + codes
  - Allows for plug-and-play of features for implementations that satisfy semantic conformance to the requirements of the ISO/IEC 29794-4 standard





#### **NIST Biometric Quality Program**

**Push Towards Zero Error Biometrics** 

## Strengthening Science

Failure
Analysis
Identifying the
likely causes of
recognition
error,
quantifying
their effect
and ways to
mitigate them.

## Advancing metrology

Performance Evaluation Quantitative means of assessing performance of quality assessment algorithms (IREX II IQCE)

## Developing Standards

Requirements

Specifications
On image
properties
affecting
performance,
and on capture
device

#### Developing Tool Box

Open source

Public domain Reference implementatio ns of quality assessment algorithm, iris segmentation

#### Best Practice Guidance

Instructional +

Guidance
Materials for
quality score
summarization
+ Best capture
practice +
example
images of
various quality

## **Enumerative** Bibliography

**Technical** 

Literature

Reports, white papers, publications relevant to biometric quality and iris image quality in particular

#### Coordination+ Collaborations

Workshops, Conferences Grants (WVU, NYU Poly)

#### Research

NIST IR 7155 ICIP 2005 NIST IR 7820

#### **Evaluation**

NIST IR 7820 PAMI 2007 ICPR 2010

#### Standard

ISO/IEC 29794 ISO/IEC 19794

#### Software

NFIQ 1.0 NFIQ 2.0 NIIQ 1.0

#### Report

NIST IR 7422 NIST IR 8XXX

#### Webpage

www.nist.gov/ itl/iad/ig/ bio\_quality.cf m BQW 2006, 07 IBPC 2010, 12 NFIQ 2010,12

## Thank You.

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## Panel Discussion

- » Greg Cannon (CrossMatch)
- » John Dowden (NEC)
- » Anne Wang (3M Cogent)
- » Timo Ruhland (BKA)
- » Jean Christophe FONDEUR (MORPHO)
  - the main advantage of NFIQ –by far- is that it is universal and common to all, so I clearly recommend that we keep this universality for NFIQ 2 and hence have no option in the definition. NFIQ score on a given image should remain an absolute and universal value.

